Amendment dated: <u>June 27, 2005</u>   <u>Customer No.: 00909</u>

Application Serial No.: 09/893,460
Attorney Docket No. 089070-0311366 (*23449-013*)
In Response to Office Action mailed March 25, 2005

This listing of claims will replace all prior versions and listings of claims in the Application.

<u>LISTING OF CLAIMS:</u>

1.   (*original*) A system for monitoring analysts' estimates comprising:

means for storing on a per analyst basis, current estimate data for a plurality of

analysts, the data including at least an estimate amount and when the estimate was made;

means for analyzing the current estimate data and determining when one or more alert

conditions are satisfied; and

means for issuing an alert when at least one predetermined alert condition is satisfied

for at least one analyst.

2.   (*original*) The system of claim 1, wherein one alert condition is based on whether an

analyst's estimate is older than a predetermined amount of time.

3.   (***Currently Amended***) The system of claim 1, wherein one alert condition is based on

whether an analyst's estimate is older than a date associated with a detected cluster of

<u>estimate</u> revisions.

4.   (*original*) The system of claim 3, wherein the system further comprises means for

defining conditions that constitute a cluster of estimate revisions, detecting a cluster of

estimate revisions, and issuing at least one alert condition when an analyst's estimate is older

than a date associated with a detected cluster of estimate revisions.

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5.   (*original*) The system of claim 4, wherein the at least one predetermined alert

condition is based on whether an analyst's estimate is more than a predetermined period of

time older than a date associated with a detected cluster of estimate revisions.

6.   (*original*) The system of claim 1, wherein one alert condition is based on whether an

analyst's estimate differs from a summary metric of a plurality of analysts' estimates by a

predetermined value.

7.   (*original*) The system of claim 6, wherein the summary metric comprises a measure of

central tendency, the measure of central tendency comprising at least one of a mean, median,

or mode.

8.   (*original*) The system of claim 6, wherein the summary metric comprises a

conditional summary metric qualified by at least one qualifying metric.

9.   (*original*) The system of claim 8, wherein the at least one qualifying metric comprises

at least one of a predetermined time period, a particular security, or a group of securities.

10.   (*original*) The system of claim 6, wherein the predetermined value is more than "n"

standard deviations away from a mean estimate, wherein "n" is an integer.

11.   (*original*) The system of claim 6, wherein the predetermined value comprises a

currency unit.

12.   (*original*) The system of claim 6, wherein the predetermined value comprises a

percentage.

13.   (*original*) The system of claim 1, wherein the system comprises means for displaying

an analyst, the analyst's estimates, and simultaneously displaying an alert indicator in

association with an individual analyst's estimate if the estimate satisfies at least one alert

condition.

14.   (*original*) The system of claim 13, wherein the system comprises means for further

displaying data associated with the alert condition.

15.   (*original*) The system of claim 14, wherein the data associated with the alert condition

comprises at least one of an indication of the alert condition or conditions that triggered the

alert.

16.   (*original*) The system of claim 14, wherein the data associated with the alert condition

comprises data relating to when the alert condition was triggered.

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17.   (*Currently Amended*) The system of claim 14, wherein the data associated with the

alert condition comprises ~~at least one of~~ an indication of the alert condition or conditions that

triggered the alert[[;]] and ~~displaying~~ when ~~the data associated with~~ the alert condition was

triggered.

18.   (*original*) The system of claim 1, wherein the system comprises means for displaying

a list of a plurality of analysts estimates, where the list comprises a group of analysts for

which there is at least one satisfied alert condition.

19.   (*original*) The system of claim 18, wherein the group of analysts further comprises at

least one of analysts associated with a particular firm, or analysts with estimates in a

particular industry.

20.   (*Currently Amended*) The system of claim 1, wherein the system comprises means for

displaying a list of a plurality of analysts' estimates, ~~where~~ <u>wherein</u> the list consists of

analysts for which there is at least one satisfied alert condition, and further <u>comprising means</u>

<u>for</u> displaying data associated with the alert condition.

21.   (*original*) The system of claim 1, further comprising means for enabling a user to

view all alerts associated with at least one analyst.

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22.   (*original*) The system of claim 1, further comprising means for enabling a user to

view selected alerts associated with at least one analyst.

23.   (*original*) The system of claim 1, further comprising means for enabling a user to

view all alerts associated with at least one analyst for at least one selected time period.

24.   (*original*) The system of claim 1, further comprising means for enabling a user to

view selected alerts associated with at least one analyst for at least one selected time period.

25.   (*original*) The system of claim 1, wherein alert conditions are defined by a user of the

system.

26.   (*original*) The system of claim 1, wherein a user specifies an action to be taken when

an alert condition is satisfied.

27.   (*original*) The system of claim 26, wherein the action comprises issuing an alert

message to a predetermined device.

28.   (*Currently Amended*) The system of claim ~~27~~ 26, wherein the ~~predetermined device~~

action comprises issuing an alert message by at least one of an e-mail message, phone, fax, or

pager ~~device~~.

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29.   (*original*) The system of claim 1, wherein an alert message is sent to a specified

recipient when an alert condition is satisfied for an estimate made by an analyst.

30.   (*original*) The system of claim 29, wherein the specified recipient is the analyst.

31.   (*original*) The system of claim 29, wherein the specified recipient is someone

associated with the analyst.

32.   (*original*) The system of claim 1, wherein a user specifies the frequency with which

the system should check for alert conditions being satisfied.

33.   (*original*) The system of claim 1, wherein a user specifies how often the system

should issue user alerts that at least one alert condition has been satisfied.

34.   (*original*) The system of claim 1, wherein the estimate relates to an estimate of a

security's earnings estimate for a fiscal period.

35.   (*original*) The system of claim 34, wherein the fiscal period comprises at least one of

a fiscal quarter, plurality of fiscal quarters, fiscal year, or a plurality of fiscal years.

36.   (*original*) The system of claim 1, wherein the estimate comprises a financial

projection.

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37.   (*original*) The system of claim 1, wherein an analyst has the capability of changing or confirming an estimate in response to an alert.

38.   (*original*) The system of claim 37, wherein an alert indicator changes when an analyst has taken action in response to the alert.

39.   (*Currently Amended*) The system of claim 37, wherein ~~the system provides~~ a different visual representation of an alert indicator is provided depending on an action taken in response to the alert.

40.   (*Currently Amended*) A computer-implemented method for monitoring analysts' estimates, comprising the steps of:

        storing on a per analyst basis, current estimate data for a plurality of analysts, the data including at least an estimate amount and when the estimate was made;

        analyzing the current estimate data and determining when one or more alert conditions are satisfied; and

        issuing an alert when at least one predetermined alert condition is satisfied for at least one analyst.